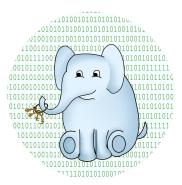
# Symmetric Encryption The myth of perfect security



Credits to our artist Ella

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# Symmetric Encryption

Key space  $\mathcal K$ Message space  $\mathcal M$ Ciphertext space  $\mathcal C$ 

#### **Definition**

A Symmetric Encryption (SE) algorithm is a tuple (KeyGen, Enc, Dec) with

 $\texttt{KeyGen}: (\cdot) \longrightarrow \mathcal{K}$ 

 $\mathtt{Enc}:\mathcal{K}\times\mathcal{M}\quad\to\mathcal{C}$ 

 $\mathtt{Dec}:\mathcal{K}\times\mathcal{C}\longrightarrow\mathcal{M}$ 

Both sender and receiver have the same key

# Substitution Cipher

#### Example

$$\begin{split} \mathcal{K} &= S_{26} \\ \mathcal{M} &= \{A,B,\ldots,Z\}^n \\ \mathcal{C} &= \{A,B,\ldots,Z\}^n \end{split}$$

# Substitution Cipher

#### Example

$$\mathcal{K} = S_{26}$$

$$\mathcal{M} = \{A, B, \dots, Z\}^n$$

$$\mathcal{C} = \{A, B, \dots, Z\}^n$$

If we take

k = "shift by three to the right"

m = ELEPHANT

Enc	with	k
Α	$\rightarrow$	D
В	$\rightarrow$	Ε
C	$\rightarrow$	F
:		:
W	$\rightarrow$	Z
Χ	$\rightarrow$	Α
Υ	$\rightarrow$	В
Z	$\rightarrow$	C

# Substitution Cipher

#### Example

$$\mathcal{K} = S_{26}$$

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If we take

k = "shift by three to the right"

m = ELEPHANT

c = HOHSKDQW

We call this shifting a Caesar Cipher, which is a substitution cipher since  $k \in S_{26}$ 

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:		:
W	$\rightarrow$	Z
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Υ	$\rightarrow$	В
Z	$\rightarrow$	C

## Security Properties

#### Definition (Correctness)

Let SE = (KeyGen, Enc, Dec) be a symmetric encryption scheme with message space  $\mathcal{M}$ , ciphertext space  $\mathcal{C}$  and key space  $\mathcal{K}$ . We say that SE is (perfectly) correct if

$$\forall m \in \mathcal{M}, \forall k \in \mathcal{K}, \quad \mathbb{P}[\mathrm{Dec}_k(\mathrm{Enc}_k(m)) = m] = 1.$$

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#### Definition (Perfect Security)

The scheme SE= (KeyGen, Enc, Dec) is perfectly secure iff  $\forall m_1, m_2 \in \mathcal{M}$ ,  $\forall c \in \mathcal{C}$ ,

$$\mathbb{P}(\operatorname{Enc}_k(m_1)=c)=\mathbb{P}(\operatorname{Enc}_k(m_2)=c)$$
 taken over all  $k\in\mathcal{K}$ 

## Security properties of the Substitution Cipher

#### Example (Correctness)

Take any  $k \in \mathcal{K} = S_{26}$ . Since permutations are always invertible, decryption is just applying  $k^{-1}$ . Therefore,

$$\forall m \in \mathcal{M}, \forall k \in \mathcal{K}, \quad \mathbb{P}[\mathrm{Dec}_k(\mathrm{Enc}_k(m)) = m] = 1$$

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## Example (Security)

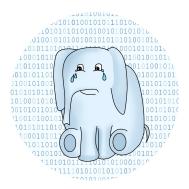
Consider the messages  $m_1 = FUN$  and  $m_2 = LOL$ . Now imagine that we see the ciphertext c = QRS. Then

$$\mathbb{P}(\operatorname{Enc}(k,m_1)=c)>0$$

$$\mathbb{P}(\operatorname{Enc}(k,m_2)=c)=0$$

# Security properties of the Substitution Cipher

#### So this scheme is not perfectly secure!



# Some Examples

## Definition (XOR)

For 
$$a, b \in \{0, 1\}^N$$
,  $(a \oplus b)_i = \begin{cases} 1, & a_i \neq b_i \\ 0, & a_i = b_i \end{cases}$ .

Or equivalently,  $a \oplus b = (a + b) \mod 2 = (a + b)_{\mathbb{Z}_2^N}$ 

## Example 1. (One-Time Pad)

Let 
$$\mathcal{M} = \mathcal{C} = \mathcal{K} = \{0, 1\},$$
  
 $\operatorname{Enc}_k(m) = k \oplus m$ ,  $\operatorname{Dec}_k(c) = k \oplus c$ 

$$\mathbb{P}(\operatorname{Enc}_k(m)=c)=rac{1}{2}, \ orall m\in\mathcal{M}, c\in\mathcal{C}$$

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$$\mathbb{P}(\operatorname{Enc}_k(m) = c) = \frac{1}{2}, \ \forall m \in \mathcal{M}, c \in \mathcal{C}$$

$$|\mathcal{K}| = |\mathcal{M}|$$

## Shannon's Theorem

#### **Theorem**

Let SE= (KeyGen, Enc, Dec) be a perfectly secure and correct encryption scheme, let  $\mathcal M$  be the message space and  $\mathcal K$  be the key space, then

$$|\mathcal{K}| \geq |\mathcal{M}|$$
.

## Imperfect Correctness

#### Definition (Imperfect Correctness)

An SE is t-imperfectly correct if

$$\forall m \in \mathcal{M}, \mathbb{P}(\mathtt{Dec}_k \mathtt{Enc}_k(m) = m) \geq 2^{-t}.$$

We trade some accuracy for efficiency (by trying to make K small)

## Assignments

#### Problem 1.

Devise a t-imperfectly correct scheme that achieves perfect security with  $|\mathcal{K}| < |\mathcal{M}|$  when  $t \ge 1$ .

#### Problem 2.

(Bonus question) Prove that for any t-imperfectly correct scheme that achieves perfect security it must be the case that  $|\mathcal{K}| \geq |\mathcal{M}| \cdot 2^{-t}$ .

#### Problem 1.

#### Scheme 1

$$\mathcal{M} = \{0,1,2,3\}, \ \mathcal{K} = \{0,1\} = \mathcal{C}.$$

$$\operatorname{Enc}_k(m) = ((k+m) \mod 2)$$

$$\mathrm{Dec}_k(c) = ((k+c) \mod 2) + 2k$$

$$\Rightarrow \operatorname{Dec}_k \operatorname{Enc}_k(m) = (m \mod 2) + 2k$$

$$\Rightarrow \mathbb{P}(\operatorname{Enc}_{\mathcal{K}}(m) = c) = \frac{1}{2},$$

$$\mathbb{P}(\mathrm{Dec}_K\mathrm{Enc}_K(m)=m)=rac{1}{2},$$

$$\forall m \in \mathcal{M}, c \in \mathcal{C}.$$

#### Table of Encryption-Decryption

k	m	$\rightarrow$	С	$\rightarrow$	m
0	0		0		0
0	1		1		1
0	2		0		0
0	3		1		1
1	0		1		2
1	1		0		3
1	2		1		2
1	3		0		3

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